

**CALTRANS**

**STATE OF CALIFORNIA**

**DEPARTMENT OF TRANSPORTATION**

**BID**

FOR CONSTRUCTION ON STATE HIGHWAY IN  
LASSEN COUNTY NEAR MADELINE FROM 0.8 MILE NORTH TO 3.8  
MILES NORTH OF ASH VALLEY ROAD  
In District 02 On Route 395

**UNDER**

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*Notice to Bidders and Special Provisions* dated April 29, 2024

*Project plans* approved October 26, 2023

*Standard Specifications* dated 2023

*Standard Plans* dated 2023

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Identified by

Contract No. 02-4J8504

02-Las-395-130.0/133.0

Project ID 0223000080

**Electronic Bidding Contract**

Bids open Thursday, May 30, 2024

Dated April 29, 2024

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
BID TO THE DEPARTMENT OF TRANSPORTATION  
DES-OE-0102.1 (REV 1/13/2017)**

NAME OF BIDDER Hat Creek Construction & Materials, Inc.

BUSINESS STREET ADDRESS 24339 Hwy 89N

CITY, STATE, ZIP Burney, CA, 96013

TELEPHONE NO. with AREA CODE 530-335-5501

FAX NO. with AREA CODE 530-335-5510

CONTRACTOR LICENSE NO. 590546

PUBLIC WORKS CONTRACTOR REGISTRATION NO. 1000003133

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List.

Bidder agrees:

2.1 If a discrepancy between the unit price and the item total exists, the unit price prevails except:

2.1.1 If the unit price is omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.

2.1.2 If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

2.2 If the unit price and the item total are omitted, the bid may be determined nonresponsive. If a lump sum total price is omitted, the bid may be determined nonresponsive.

2.3 Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the the item total, the item total prevails.

2.4 Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

2.5 Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6 For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7 The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda: 0

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items:

Electronic Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1 Criminal prosecution

5.2 Rejection of the bid

5.3 Rescission of the award

### 5.4 Termination of the Contract

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BY (Authorized Signature) ;                      DATE SIGNED (Do not type)

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PRINTED NAME AND TITLE OF PERSON SIGNING

ITEM NUMBER	ITEM CODE	QUANTITY	UNIT OF MEASURE	UNIT PRICE	ITEM TOTAL
BID ITEM LIST 1					
BID ITEM LIST					
0001	070030	1.000	LS	\$2,500.00	\$2,500.00
	LEAD COMPLIANCE PLAN				
0002	090100	25.000	WDAY	\$4,000.00	\$100,000.00
	TIME-RELATED OVERHEAD (WDAY)				
0003	120090	1.000	LS	\$8,750.00	\$8,750.00
	CONSTRUCTION AREA SIGNS				
0004	120100	1.000	LS	\$154,114.00	\$154,114.00
	TRAFFIC CONTROL SYSTEM				
0005	010413	1.000	LS	\$2,600.00	\$2,600.00
	PORTABLE RADAR SPEED FEEDBACK SIGN SYSTEMS (LS)				
0006	128652	1.000	LS	\$3,600.00	\$3,600.00
	PORTABLE CHANGEABLE MESSAGE SIGN (LS)				
0007	129161	50.000	EA	\$28.00	\$1,400.00
	AUTOMATED FLAGGER ASSISTANCE DEVICE DAY				
0008	130100	1.000	LS	\$2,000.00	\$2,000.00
	JOB SITE MANAGEMENT				
0009	130201	1.000	LS	\$1,500.00	\$1,500.00
	WATER POLLUTION CONTROL PROGRAM				
0010	130730	1.000	LS	\$9,000.00	\$9,000.00
	STREET SWEEPING				
0011	190185	1140.000	TON	\$74.00	\$84,360.00
	SHOULDER BACKING				
0012	220101	1.000	LS	\$15,000.00	\$15,000.00
	FINISHING ROADWAY				
0013	390025	8.000	EA	\$4,000.00	\$32,000.00
	SEGMENT CORRECTION				
0014	390095	150.000	CY	\$650.00	\$97,500.00
	REPLACE ASPHALT CONCRETE SURFACING				
0015	390132	3730.000	TON	\$172.00	\$641,560.00
	HOT MIX ASPHALT (TYPE A)				
0016	394060	1.000	LS	\$5,140.00	\$5,140.00
	DATA CORE				
0017	394073	2240.000	LF	\$4.75	\$10,640.00
	PLACE HOT MIX ASPHALT DIKE (TYPE A)				
0018	397005	17.000	TON	\$500.00	\$8,500.00
	TACK COAT				
0019	398100	2240.000	LF	\$3.00	\$6,720.00
	REMOVE ASPHALT CONCRETE DIKE				
0020	398200	330.000	SQYD	\$12.00	\$3,960.00
	COLD PLANE ASPHALT CONCRETE PAVEMENT				
0021	810120	1220.000	EA	\$3.00	\$3,660.00
	REMOVE PAVEMENT MARKER				
0022	810250	1220.000	EA	\$12.50	\$15,250.00
	PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)				
0023	847104	62900.000	LF	\$3.75	\$235,875.00

6" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (RECESSED)

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0024	847118	80.000 LF	\$4.50	\$360.00
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8" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (RECESSED)

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Section 1 Total				\$1,445,989.00
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Item Total				\$1,445,989.00
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STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SUBCONTRACTOR LIST
DES-OE-0102.2C (REV. 3/2015)

Enter Bid Item Number. (Item)

Enter percent of Bid Item subcontracted. (%)

If the percentage of the bid item work is less than 100 percent, list the description of the portion of the work to be subcontracted. Enter the description of the percentage of work to be performed by subcontractor. (Description)

DO NOT USE SEMICOLONS (;) IN YOUR INPUT.

Sample Data Entry:

Table with 3 columns: Item, %, Description. Rows include: 6 75 striping, 42 15 reinforcement, 54 100

1) List this subcontractor? YES NO
Business Name T and S DVBE Inc. Location City Redding State CA
California Contractor License Number 983087 Public Works Contractor Registration
Number 1000007688
Portion of Work Subcontracted
Item % Description
3 100
4(p) 24.9 Pilot Car, Flagging, Rumble Strips
5 100
6 100
7 100

2) List this subcontractor? YES NO
Business Name Central Striping Service Inc. Location City Rancho Cordova State CA
California Contractor License Number 403896 Public Works Contractor Registration
Number 1000006499
Portion of Work Subcontracted
Item % Description
4(P) 11.7 TC for Striping only
22 100
23 100
24 100

3) List this subcontractor? YES NO
Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item % Description

4) List this subcontractor? YES NO
Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item % Description

5) List this subcontractor?  YES  NO

Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

6) List this subcontractor?  YES  NO

Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

7) List this subcontractor?  YES  NO

Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

8) List this subcontractor?  YES  NO

Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

9) List this subcontractor?  YES  NO

Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

10) List this subcontractor?  YES  NO

Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description



11) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

12) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

13) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

14) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

15) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

16) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

17) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

18) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

19) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

20) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

21) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

22) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

23) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

24) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

25) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

26) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

27) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

28) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

29) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                  Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                                  %                                  Description

30) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

31) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

32) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

33) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

34) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

35) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

36) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

37) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

38) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

39) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

40) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

41) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

42) List this subcontractor?  YES  NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

43) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

44) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

45) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

46) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

47) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

48) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted

Item % Description

49) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

50) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

51) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

52) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

53) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

54) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

55) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

56) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

57) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

58) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

59) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

60) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description



**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
REQUEST FOR SMALL BUSINESS PREFERENCE OR NON-SMALL BUSINESS PREFERENCE  
DES-OE-0102.7 (NEW 2/2010)**

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COMPLETE ONE OF THE FOLLOWING:

\* SMALL BUSINESS PREFERENCE

The undersigned small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Small Business Certification (Reference) Number

Date:

Signature: \_\_\_\_\_

\* NON-SMALL BUSINESS PREFERENCE

The undersigned non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS).The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Date:

Signature: \_\_\_\_\_

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
CALIFORNIA COMPANY PREFERENCE  
DES-OE-0102.9 (NEW 11/2008)**

**This form must be completed by all bidders. Failure of a non-California company to fill out and sign this form may be cause for rejection of its bid. Eligibility for a reciprocal preference for a California company is waived if the California company fails to complete and sign this form under penalty of perjury.**

**The undersigned certifies that it is a "California company" as defined in Pub Cont Code §6107 and meets one of the following (check appropriate box and enter requested information):**

I am a California company which has its principal place of business in California.

or

I am a California company which has its principal place of business in a state in which there is no local contractor preference on construction contracts.

Name of State \_\_\_\_\_

or

I am a California company which has its principal place of business in a state in which there is a local contractor preference and my company has paid not less than \$5,000 in sales or use taxes to California for construction related activity for each of the 5 years immediately preceding the submission of the bid.

Name of State \_\_\_\_\_

California Sales or Use Tax No. \_\_\_\_\_

or

**The undersigned certifies that it is not a "California Company."**

I am not a California company. My principal place of business is in: (Enter state or country)

Describe any and all bid preferences provided to your company by the state or country in which your company has its principal place of business.

Describe \_\_\_\_\_

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: \_\_\_\_\_

Signature of Bidder: \_\_\_\_\_

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS  
DES-OE-01012.a (REV 8/2012)**

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:

Bidder's Name:

\_\_\_\_\_

Signature of Bidder: \_\_\_\_\_

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
SMALL BUSINESS/DVBE/DBE CERTIFICATION STATUS  
DES-OE-0102.4 (REV 1/2024)**

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES       NO      Certification number?:

Are you certified as a "Disabled Veteran Business Enterprise" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES       NO      Certification number?:

Are you certified as a "Disadvantaged Business Enterprise" by the California Unified Certification Program (CUCP)?:

YES       NO      Certification number?:

Note: This questionnaire is included for statistical reporting only.

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
CERTIFIED SMALL BUSINESS LISTING FOR THE NON-SMALL BUSINESS PREFERENCE  
DOT OCR-0011 (REV 01/2024)**

List the description of work, name, telephone number, certification number, and dollar amount of each certified small business who will be used for non-small business preference on this project. Failure to submit a completed Certified Small Business Listing for the Non-Small Business Preference form by 4 p.m. on the 4th business day after bid opening will result in a nonresponsive bid. Attach additional sheets if necessary.

Submit to:

MS 43  
Office Engineer  
Department of Transportation  
1727 30th Street  
Sacramento, CA 95816-7005

**Non-Small Business Preference-Certification**

As an authorized representative of the bidder, if the bidder is awarded the contract, the bidder is committed to use the small businesses shown on this form to meet the non-small business preference. The work to be performed in fulfillment of the contract requirements will be Commercially Useful Function (CUF) complaint in accordance with the requirements in Government Code section 14837, subdivision(d)(4). I certify under penalty of the perjury that the foregoing is true and correct.

Bidder's Authorized Representative Name

\_\_\_\_\_  
Bidder's Authorized Representative Signature DATE

Email Address

Total Claimed Participation for Non-Small Business Preference: \$

Total Claimed Participation for Non-Small Business Preference: %

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
CERTIFICATIONS**

## UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

## NONCOLLUSION

## NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:  
State of CA County of Shasta

Name: Perry Thompson ,  
being first duly sworn, deposes and says that he or she is President of Hat Creek Construction & Materials Inc.  
the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

## CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

## NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

## VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

YES  NO

If the answer is yes, explain the circumstances in the following space.

## ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder  has  has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

## PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.



**BIDDER RESPONSIBILITY QUESTIONNAIRE**

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES  NO

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

YES  NO

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES  NO

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

**Executive Order N-6-22 - Russia Sanctions**

On March 4, 2022, Governor Gavin Newsom issued Executive Order N-6-22 (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e. there is federal participation in any phase). By submitting a bid or proposal, Contractor represents that it is not a target of Economic Sanctions. Should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities,

that shall be grounds for rejection of the Contractor's bid/proposal any time prior to contract execution, or, if determined after contract execution, shall be grounds for termination by the State.

END CERTIFICATIONS - STATE

## Bidder's Bond

### DES-OE-0102.3 (REV 3/2008)

Bidder's Security: Electronic Bidder's Bond

If electronic Bid Bond data is not submitted with bid, submit Bidder's Security. Bidder's Security must be received by Office Engineer before bid opening date and time. Bid Bond forms can be downloaded from the Caltrans Electronic Bidding website at: [http://www.dot.ca.gov/hq/esc/oe/electronic\\_bidding/electronic\\_bidding.html](http://www.dot.ca.gov/hq/esc/oe/electronic_bidding/electronic_bidding.html). Bid Bond forms are also the last 2 pages of the printout of the electronic bid.

Bond ID: SCA0501894013

Bond Registry: Surety2000

Bond Percent: 10

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
BIDDER'S BOND  
DES-OE-0102.3 (REV 3/2008)**

Contract No. 02-4J8504

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN LASSEN COUNTY NEAR MADELINE FROM 0.8 MILE NORTH TO 3.8 MILES NORTH OF ASH VALLEY ROAD In District 02 On Route 395 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on Thursday, May 30, 2024

NOW, THEREFORE, if the Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Principal

\_\_\_\_\_  
Surety

\_\_\_\_\_  
Address of Surety (For correspondence or claims)

By \_\_\_\_\_

\_\_\_\_\_  
Attorney-in-Fact

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

\_\_\_\_\_  
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

\_\_\_\_\_  
State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_ ,  
Date Here Insert Name and Title of the Officer

personally appeared \_\_\_\_\_ ,  
Name(s) of Signer(s)

\_\_\_\_\_  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)


Signature \_\_\_\_\_  
Signature of Notary Public

## Bid Bond in Accordance with Contract Specifications

Be sure to refer to the actual bond documents referenced in the contract specifications for specific terms before completing this form.

<b>PRINCIPAL NAME</b> Hat Creek Construction & Materials, inc.	<b>PRINCIPAL ADDRESS</b> 24339 HWY 89 N., Burney, CA 96013
<b>SURETY NAME</b> Fidelity and Deposit Company of Maryland	<b>SURETY ADDRESS</b> 1299 Zurich Way, 5th FL, Schaumburg, IL 60196
<b>OBLIGEE NAME</b> State of California, Department of Transportation	<b>OBLIGEE ADDRESS</b> 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816

### Bond Information

<b>BID DATE</b> 05/30/2024	<b>CONTRACT ID</b> 02-4J8504	<b>CONTRACT VENDOR ID</b> VC0000014024
<b>PROJECT DESCRIPTION</b> Construction on State Highway in Lassen County near Madeline from 0.8 Miles North to 3.8 Miles North of Ash Valley Road		
<b>AMOUNT OF BID SECURITY</b> 10%	<b>AMOUNT OF BID SECURITY-SPELLED OUT</b> Ten Percent of the Total Amount Bid	
<b>BOND ENTERED AND EXECUTED BY</b> Elizabeth Collodi	<b>ATTORNEY-IN-FACT SIGNATURE</b> 	

Know all men by these presents that Fidelity and Deposit Company of Maryland, a Corporation duly organized under the laws of the State of Illinois, are held and firmly bound unto the above owner/obligee by the transmission. The surety agrees to waive the statute of fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.



**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND  
POWER OF ATTORNEY**

SCA0501894013

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by Robert D. Murray, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint Elizabeth Collodi, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said **ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND**, this 05/01/2024.



**ATTEST:  
ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

By: *Robert D. Murray*  
Vice President

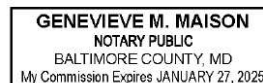
By: *Dawn E. Brown*  
Secretary

**State of Maryland  
County of Baltimore**

On this 05/01/2024, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Robert D. Murray, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

*Genevieve M. Maison*



**Authenticity of this bond can be confirmed at [bondvalidator.zurichna.com](http://bondvalidator.zurichna.com) or 410-559-8790**



**EXTRACT FROM BY-LAWS OF THE COMPANIES**

SCA0501894013

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

**CERTIFICATE**

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 05/01/2024.



*MJ Pethick*

By: Mary Jean Pethick  
Vice President

**TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:**

Zurich Surety Claims  
1299 Zurich Way  
Schaumburg, IL 60196-1056  
[reportsclaims@zurichna.com](mailto:reportsclaims@zurichna.com)  
800-626-4577

**Authenticity of this bond can be confirmed at [bondvalidator.zurichna.com](http://bondvalidator.zurichna.com) or 410-559-8790**

